

**CNR Securities LLC
400 Park Avenue
New York, NY 10022
(212) 702-3500**

**SEC-Required Report on Routing of Customer Orders
for Quarter Ending March 31, 2020**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.90%
Limit orders as a percentage of total non-directed orders	0.10%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.61%
Limit orders as a percentage of total non-directed orders	0.39%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	45.95%
Limit orders as a percentage of total non-directed orders	54.05%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**CNR Securities LLC
400 Park Avenue
New York, NY 10022
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**SEC-Required Report on Routing of Customer Orders
for Quarter Ending December 31, 2019**

CNR Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, CNR Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by CNR Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of CNR Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.93%
Limit orders as a percentage of total non-directed orders	0.07%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.91%
Limit orders as a percentage of total non-directed orders	0.09%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	70.0%
Limit orders as a percentage of total non-directed orders	30%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**CNR Securities LLC
400 Park Avenue
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**SEC-Required Report on Routing of Customer Orders
for Quarter Ending September 30, 2019**

CNR Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, CNR Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by CNR Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of CNR Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.97%
Limit orders as a percentage of total non-directed orders	0.03%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.87%
Limit orders as a percentage of total non-directed orders	0.13%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC 100%

Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	52.83%
Limit orders as a percentage of total non-directed orders	47.17%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC 100%

Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**CNR Securities LLC
400 Park Avenue
New York, NY 10022
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**SEC-Required Report on Routing of Customer Orders
for Quarter Ending June 30, 2019**

CNR Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, CNR Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by CNR Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of CNR Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.97%
Limit orders as a percentage of total non-directed orders	0.03%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.39%
Limit orders as a percentage of total non-directed orders	0.61%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	11.43%
Limit orders as a percentage of total non-directed orders	88.57%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

CNR Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from CNR Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

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New York, NY 10022
(212) 702-3500**

**SEC-Required Report on Routing of Customer Orders
for Quarter Ending March 31, 2019**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.96%
Limit orders as a percentage of total non-directed orders	0.04%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.75%
Limit orders as a percentage of total non-directed orders	0.25%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

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Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	21.92%
Limit orders as a percentage of total non-directed orders	78.08%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

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Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.98%
Limit orders as a percentage of total non-directed orders	0.02%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.98%
2. WELLS FARGO	0.02%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	99.98%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

2. WELLS FARGO

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.02%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.88%
Limit orders as a percentage of total non-directed orders	0.12%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100.00%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	40.00%
Limit orders as a percentage of total non-directed orders	60.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC

100%

Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders 100%

Limit orders as percentage of total limit orders 100%

Other orders as percentage of total other orders 0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

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New York, NY 10022
(212) 702-3500**

**SEC-Required Report on Routing of Customer Orders
for Quarter Ending September 30, 2018**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.93%
Limit orders as a percentage of total non-directed orders	0.07%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.40%
Limit orders as a percentage of total non-directed orders	0.60%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options**Summary Statistics:**

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	37.5%
Limit orders as a percentage of total non-directed orders	62.50%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**RIM Securities LLC
400 Park Avenue
New York, NY 10022
(212) 702-3500**

**SEC-Required Report on Routing of Customer Orders
for Quarter Ending June 30, 2018**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.97%
Limit orders as a percentage of total non-directed orders	0.03%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.59%
Limit orders as a percentage of total non-directed orders	0.41%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	6.52%
Limit orders as a percentage of total non-directed orders	93.48%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**RIM Securities LLC
400 Park Avenue
New York, NY 10022
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**SEC-Required Report on Routing of Customer Orders
for Quarter Ending March 31, 2018**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.94%
Limit orders as a percentage of total non-directed orders	0.06%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.86%
Limit orders as a percentage of total non-directed orders	0.14%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC 100%

Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	17.78%
Limit orders as a percentage of total non-directed orders	82.22%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC 100%

Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**RIM Securities LLC
400 Park Avenue
New York, NY 10022
(212) 702-3500**

**SEC-Required Report on Routing of Customer Orders
for Quarter Ending December 31, 2017**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.93%
Limit orders as a percentage of total non-directed orders	0.07%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.99%
2. WELLS FARGO	0.01%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	99.99%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

2. WELLS FARGO

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.01%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.18%
Limit orders as a percentage of total non-directed orders	0.82%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.87%
2. CITIBANK	0.06%
2. WELLS FARGO	0.06%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	99.87%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

2. CITIGROUP

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.06%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. WELLS FARGO

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.06%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	5.00%
Limit orders as a percentage of total non-directed orders	95.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**RIM Securities LLC
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New York, NY 10022
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**SEC-Required Report on Routing of Customer Orders
for Quarter Ending September 30, 2017**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.91%
Limit orders as a percentage of total non-directed orders	0.09%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.99%
2. CITIGROUP	0.01%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	99.99%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

2. CITIGROUP

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.01%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.00%
Limit orders as a percentage of total non-directed orders	1.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.97%
2. WELLS FARGO	0.03%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	99.97%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

2. WELLS FARGO

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	4.26%

Limit orders as a percentage of total non-directed orders	95.74%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

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**SEC-Required Report on Routing of Customer Orders
for Quarter Ending June 30, 2017**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.92%
Limit orders as a percentage of total non-directed orders	0.08%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.91%
2. CITIGROUP	0.09%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	99.99%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

2. CITIGROUP

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	0.01%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	98.95%
Limit orders as a percentage of total non-directed orders	1.05%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	99.91%
2. WELLS FARGO	0.09%

Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	91.89%
Other orders as percentage of total other orders	0.00%

2. WELLS FARGO

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	8.11%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.73%
Limit orders as a percentage of total non-directed orders	0.27%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	13.43%

Limit orders as a percentage of total non-directed orders	86.57%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

**RIM Securities LLC
400 Park Avenue
New York, NY 10022
(212) 702-3500**

**SEC-Required Report on Routing of Customer Orders
for Quarter Ending March 31, 2017**

RIM Securities has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available quarterly reports on their order routing practices. The report provides information on the routing of "non-directed orders" - any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, RIM Securities has selected the execution venue on behalf of its customers.

The report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ, one for securities listed on the American Stock Exchange or regional exchanges, and one for exchange-listed options. For each section, this report identifies the venues most often selected by RIM Securities, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects, if any, of RIM Securities' relationship with the venues.

Securities Listed on the New York Stock Exchange

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	99.77%
Limit orders as a percentage of total non-directed orders	0.23%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. PERSHING, LLC	100%
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Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing

may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on the NASDAQ Stock Market

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	98.08%
Limit orders as a percentage of total non-directed orders	1.92%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

1. PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
Limit orders as percentage of total limit orders	100%
Other orders as percentage of total other orders	0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Securities Listed on American Stock Exchange or Regional Stock Exchanges

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
Market orders as a percentage of total non-directed orders	100%
Limit orders as a percentage of total non-directed orders	0.00%
Other orders as a percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
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Limit orders as percentage of total limit orders	100%
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Other orders as percentage of total other orders	0.00%
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Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and will route most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".

Exchange-Listed Options

Summary Statistics:

Non-directed orders as a percentage of total customer orders	100%
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Market orders as a percentage of total non-directed orders	2.30%
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Limit orders as a percentage of total non-directed orders	97.70%
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Other orders as a percentage of total non-directed orders	0.00%
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Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERSHING, LLC	100%
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Information Concerning Significant Venues:

PERSHING, LLC

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100%
--	------

Limit orders as percentage of total limit orders	100%
--	------

Other orders as percentage of total other orders

0.00%

Material Aspects of Relationship with Venue:

RIM Securities has a fully disclosed clearing relationship with Pershing and routes most orders to Pershing for execution. After receiving an order from RIM Securities, Pershing may, in turn, route the order to another venue. Information regarding Pershing's order routing can be found by linking to www.routingdisclosure.com and typing in "Pershing LLC".